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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/775,764	02/09/2004	Elias Levy	SYMAP042

21912  
 VAN PELT & YI LLP  
 10050 N. FOOTHILL BLVD #200  
 CUPERTINO, CA 95014

RECEIVED

MAY 10 2004

VAN PELT &amp; YI LLP

CONFIRMATION NO. 8717

FORMALITIES LETTER



\*OC000000012558967\*

Date Mailed: 05/07/2004

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Additional claim fees of \$72 as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

07/27/2004 NKUCHAI 00000016 10775764

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$972 for a Large Entity

- \$770 Statutory basic filing fee.
- \$130 Late oath or declaration Surcharge.

01 FC:1001

770.00 0P

02 FC:1202

72.00 0P

03 FC:1051

130.00 0P

- Total additional claim fee(s) for this application is **\$72**

- **\$72 for 4 total claims over 20.**

Replies should be mailed to: Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

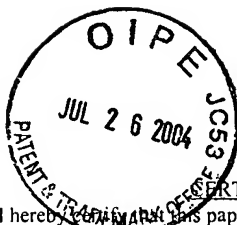
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*A copy of this notice **MUST** be returned with the reply.*

  
\_\_\_\_\_  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**CERTIFICATE OF MAILING**

I hereby certify that this paper and the documents and/or fees referred to as attached therein are being deposited with the United States Postal Service on July 16, 2004, in an envelope as First Class Mail, addressed to: Mail Stop Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313.

Jennifer C. Cross

Attorney Docket No.: SYMAP042

First Named Inventor: Elias Levy

Application No. 10/775,764

**RESPONSE TO NOTICE TO FILE MISSING PARTS**

Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313

☐ Duplicate for  
fee processing

Sir: This is a Response to Notice to File Missing Parts in a patent application in the name of inventors:  
Elias Levy

For: CAPTURING A SECURITY BREACH

07/27/2004 NROCHA1 00000016 10775764

04 FC:1251

110.00 00

Application Elements:



**Declaration**



Newly executed (original or copy)



Copy from a prior application (37 CFR 1.63(d) for a continuation or divisional).

The entire disclosure of the prior application from which a copy of the declaration is herein supplied is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.



Deletion of inventors Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

Accompanying Application Parts:



Information Disclosure Statement with Form PTO-1449



Copies of IDS Citations



Preliminary Amendment (*New claims numbered after highest original claim in prior application.*)



Return Receipt Postcard



Other:

Attorney Docket No. SYMAP042

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### Amendments

☐ Cancel in this application original claims \_\_\_\_\_ of the prior application before calculating the filing fee. (*At least one original independent claim must be retained.*)

### Fee Calculation (37 CFR § 1.16)

	(Col. 1) <u>NO. FILED</u>	(Col. 2) <u>NO. EXTRA</u>	<u>RATE</u>	<u>FEE</u>	<u>SMALL ENTITY</u>	<b>OR</b>	<u>LARGE ENTITY</u>
BASIC FEE				\$385	\$	OR	\$770 \$770.00
TOTAL CLAIMS	<u>24</u>	-20 = <u>4</u>		x9	= \$	OR	x18 = \$72.00
INDEP CLAIMS	<u>3</u>	-03 = <u>0</u>		x43	= \$	OR	x86 = \$
[X] Oath or Declaration Surcharge				\$65		OR	\$130.00
[ ] Multiple Dependent Claim Presented				\$145	= \$	OR	\$290 = \$
* If the difference in Col. 1 is less than zero, enter "0" in Col. 2.				Total	\$	OR	Total \$972.00

☒ Applicants petition for a one (1) month extension of time to respond under 37 CFR § 1.136(a).

☒ Check No. 1351 in the amount of \$1,082.00 is enclosed.

### General Authorizations

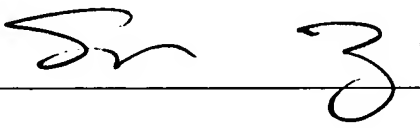
☒ Applicants hereby make and generally authorize any Petitions for Extensions of Time as may be needed for this or any subsequent filings. The Commissioner is also authorized to charge any extension fees under 37 CFR §1.17 as may be needed to Deposit Account No. 50-0685 (Order No. SYMAP042 ).

☒ The Commissioner is given general authorization to charge any fees or to credit any overpayment during the pendency of this application to Deposit Account No. 50-0685 (Order No. SYMAP042 ).

☒ Please send correspondence to the following address:

**Customer No. 21912**  
VAN PELT & YI LLP  
10050 N. Foothill Blvd.  
Suite 200  
Cupertino, CA 95014

Date: 7/15/04

  
\_\_\_\_\_  
Susan Yi  
Reg. No. 39,883